

Contemporary Amperex Technology Co., Limited

Sustainable Supply Chain Management Policy

Mission

To build a sustainable ecological supply chain system, adhere to ethical and compliance management, continuously strengthen the communication of stakeholders, promote the harmonious development of the supply chain, ensure the sustainable development of the supply chain, and benefit our customers and society.

Management Structure

Supply chain sustainability development is a key component of the sustainable development management of Contemporary Amperex Technology Co., Limited ("CATL"). Under the guidance of the Sustainable Development Management Committee, the Sourcing Center, together with other supply chain-related departments, promotes the implementation of sustainable supply chain development initiatives and systematically manages the construction of a responsible supply chain.

Policy

CATL will clearly communicate all sustainability management requirements and compliance obligations to prospective suppliers of the Company and all its subsidiaries and holding companies, and establish minimum ESG eligibility criteria. The company is committed to supporting suppliers in enhancing their sustainability management capabilities and long-term value. Suppliers failing to meet the minimum ESG requirements within the specified timeframe will not be awarded contracts.

CATL will strengthen its assessment of suppliers' adherence to the Supplier Code of Conduct and require all suppliers to operate in a socially and environmentally responsible manner.

The following outlines the overall principles and requirements for sustainable supply chain management by CATL:

I. Human Rights Protection

1. Labor Standards

- **Prohibition of Forced Labor:** Suppliers shall not use any form of forced, bonded, indentured, or compulsory labor, including modern slavery, child labor, or servitude. Suppliers shall not restrict employees' freedom of movement or withhold identity documents such as passports or national ID cards.
- **Non-Discrimination:** Suppliers shall prohibit all forms of discrimination based on gender, marital status, race, color, ancestry, religion, sexual orientation, disability, political opinion, or any other personal characteristic in recruitment, promotion, compensation, training, or termination.
- **Fair Compensation:** Suppliers shall provide fair and reasonable wages in compliance with applicable minimum wage laws and are encouraged to offer compensation above the legal minimum. Suppliers should support employee career development through training and skill-building opportunities.
- **Working Hours:** Working hours (including overtime) must not exceed the maximum permitted under local law, except in genuine emergencies or exceptional circumstances.
- **Humane Treatment:** Suppliers shall prohibit corporal punishment, physical or verbal abuse, bullying, unlawful harassment, threats, or any form of intimidation.

- Freedom of Association: Employees of suppliers have the right to freedom of association, collective bargaining, peaceful assembly, and union formation without fear of discrimination, retaliation, or harassment. Suppliers shall establish transparent channels to enable employees to freely express concerns and suggestions.

2. Health and Safety

- Working Conditions: Suppliers shall obtain, maintain, and comply with all required occupational health and safety permits. They must identify and assess potential risks (e.g., fire, electrical hazards, manual handling, machinery, vehicles, and falls) and mitigate them through hazard elimination, substitution, engineering controls, preventive maintenance, safe work procedures (including lockout/tagout), and, where necessary, appropriate personal protective equipment. Zero-accident workplaces are strongly encouraged.
- Living Conditions: Suppliers shall provide clean sanitation facilities and potable water. Where provided, food, storage, and dining areas must be hygienic. Employee dormitories must be clean, safe, and offer adequate living space.

3. Third-Party Rights Protection

- Indigenous Peoples' Rights: Suppliers must obtain the free, prior, and informed consent of indigenous communities and local stakeholders before commencing operations that may affect them, and respect their cultural and developmental needs.

- Feedback Mechanisms: Suppliers shall establish effective grievance mechanisms, including anonymous reporting channels, to address potential human rights concerns. Reports of violations must be investigated promptly and impartially.

II. Environmental Protection

1. Resource Efficiency

- Energy and Material Use: Suppliers shall adopt resource-efficient production methods to reduce energy and raw material consumption, increase the share of renewable energy, and implement measures to conserve water and natural resources while improving overall efficiency.
- Waste Circularity: Suppliers shall implement circular economy practices to maximize material recovery and minimize waste generation. Suppliers are encouraged to conduct lifecycle analysis on all products, and to select raw materials with low environmental footprints, prioritize the use of renewable energy, and develop products that can reduce harm to the local environment during use.

2. Pollution Control

- Greenhouse Gas Emissions: Suppliers shall manage and reduce greenhouse gas emissions in accordance with international standards such as ISO 14044, ISO 14064, ISO 14067, and the GHG Protocol. Regular monitoring, reporting, and reduction initiatives are required. Suppliers are encouraged to pursue carbon neutrality certifications (e.g., ISO 14068).
- Waste, Wastewater, and Emissions Management: Suppliers shall minimize the generation of wastewater, waste gases, and solid waste through cleaner production technologies and maintain a robust waste management system to ensure proper treatment and disposal.

- Hazardous materials management: Suppliers shall comply with all applicable laws and regulations on chemical and hazardous substance management, and shall not manufacture, trade, or use substances prohibited internationally due to high toxicity, environmental persistence, or potential irreversible ecological impacts. Suppliers must strictly control arsenic and mercury emissions, manage cyanide in accordance with the highest international standards, and ensure that the handling, storage, and transportation of hazardous substances comply with relevant regulations to prevent leaks, spills, or any release into the environment.
 - Noise and Vibration Control: Suppliers shall use low-noise equipment and optimize processes to reduce noise at source. Acoustic enclosures, silencers, or other mitigation measures must be installed on high-noise equipment. Vibration sources shall be identified and controlled through isolation mounts or damping systems, with regular maintenance to ensure equipment integrity and minimize unnecessary vibration.
3. Ecological Conservation
- Soil and Forestry Protection: Suppliers shall prevent soil contamination, conduct soil pollution monitoring and remediation where needed, comply with forestry regulations, avoid illegal logging, and support sustainable forest management to protect biodiversity and ecological balance.
 - Natural Water Body Protection: Suppliers shall prevent water pollution, implement water quality monitoring, and ensure wastewater discharges meet regulatory standards to safeguard aquatic ecosystems and water resources.
 - Biodiversity Conservation: In site selection and construction, suppliers shall assess ecological impacts, prioritize locations with minimal environmental sensitivity, minimize construction-related damage, and follow best practices to protect local biodiversity.

III. Transparency and Integrity

- Intellectual Property Protection: Suppliers shall not use counterfeit or substandard products and must fully respect and protect the intellectual property rights of all parties, including partners' technological innovations.
- Information Disclosure: Suppliers shall ensure the accuracy and completeness of information in commercial activities and regularly disclose relevant supply chain data to CATL to support informed decision-making.

This policy takes effect immediately upon issuance and is overseen by the Corporate Sustainability Management Committee, which is responsible for interpretation, enforcement, and periodic review. The Company will dynamically update this policy in response to evolving international human rights and environmental standards, regulatory developments and changes in its business operations.

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Effective Date: November 2025